



# Corporate Investigations

## A Discussion of Program Capabilities

TD International is a Washington, DC consulting firm that provides strategic advisory and risk management services tailored specifically to meet client objectives. The sensitive nature of each project for which TDI is engaged requires the highest standards of professionalism, confidentiality, accuracy and responsiveness to client requirements. The firm’s focus on client relationships and eight decades of in-house experience allow these standards to be maintained on every project.

### Reputational Due Diligence & Background Investigations

TDI’s due diligence services are designed to protect clients from unforeseen problems and hidden liabilities that can impact their business objectives and their reputation, particularly in areas of the world where information is not transparent. TDI’s unique approach goes beyond basic financial and background checks and focuses on understanding the reputation, intentions and capabilities of an adversary or potential partner.

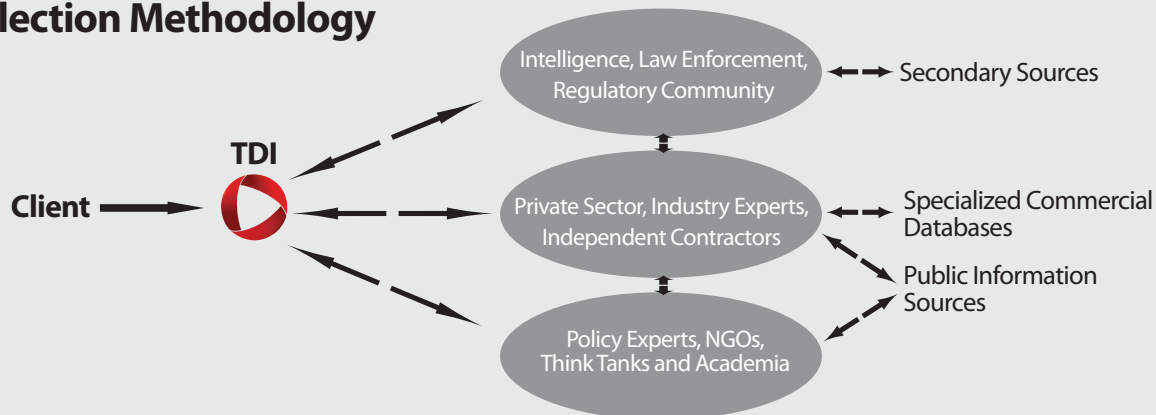
### Regulatory Compliance

TDI has designed and implemented programs for major multinational firms to operate in compliance with the US Foreign Corrupt Practices Act (FCPA), the US Patriot Act and the Sarbanes-Oxley Act. TDI has vetted hundreds of companies and individuals around the world to identify corrupt business practices, improper political relationships, links to organized crime or terrorism finance, and parties that have been statutorily banned or restricted from doing business with US companies.

### Litigation Support

Targeted, actionable information is especially critical in litigation. TDI provides a broad range of services to support and advance litigation strategy, including investigating relevant facts and allegations, providing and evaluating evidentiary information, identifying and vetting expert witnesses, conducting interviews, and analyzing findings. TDI has been engaged by major international law firms to provide these services in support of civil litigation in the US and abroad. By working seamlessly with corporate or outside counsel, TDI collects and provides attorney-client privileged information according to local legal guidelines.

### Collection Methodology



The TDI operational model is based on the premise that there are four qualitative inputs to any firm: **People, Capital, Technology** and **Information**. Private sector firms tend to devote significant resources to maximizing returns from the first three inputs, while information remains largely unoptimized. By drawing on TDI’s experience within both the intelligence and investment bank communities, TDI is able to put unique tools that once were available only to governments into the hands of its clients.

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## Case Studies

TDI sets the standard for industry best practices, as evidenced by the fact that the firm has been selected to implement due diligence and compliance programs for agencies of the US Government and Fortune 100 multinational financial institutions, manufacturers, defense contractors and consumer products companies.

The following are examples of TDI's recent past performance:



TDI designed and conducted a US FCPA compliance program for a US manufacturer with a worldwide customer base. The program assessed local vendors in 60 countries on six continents. After conducting a public records-based investigation, TDI leveraged its network of local sources to visit each distributor, verify operations and conduct inquiries with local law enforcement and regulatory officials regarding reputation and financial stability.



TDI investigated an opposition expert in a wrongful death terrorism case. Despite the expert's public image as a knowledgeable party, TDI found that he had several serious judgment issues buried in his professional history. TDI also found that he had embellished his background in the subject area. The witness' credibility was damaged during deposition, leaving TDI's client with the only unchallenged terrorism expert when the matter went to trial.



An investment bank engaged TDI to conduct due diligence on industrial assets and counterparties in Kazakhstan, including identification of possible Western competitors. Specific tasking included assessing the legitimacy of other suitors, management and corruption issues with both the asset and the counterparty. TDI acquired previously unavailable information on the asset's production capacity, affiliated companies, and equity and debt structures.



A prominent US investment fund sought to syndicate part of a \$100M investment to a TDI client and warranted that due diligence had been completed. TDI found that the fund's financially-focused due diligence had failed to identify the involvement of two key target company officers in federal corruption investigations. TDI also found that the company had lied about being awarded a key US Government grant.

A TDI partner would be pleased to discuss the specifics of these case studies in person, providing the client with insight into how these particular case studies added value to the client's operations and how TDI conducts these programs with the highest degree of integrity and responsiveness.

Questions regarding any of the information provided above or potential new project initiatives should be directed to TD International at (202) 872-9595 or our website [www.tdinternational.com](http://www.tdinternational.com).